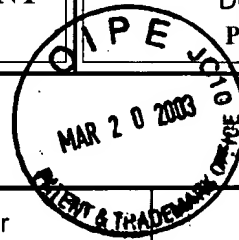


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

1745  
Docket No.  
PES-0033

In Re Application Of: Moulthrop et al.



Serial No.  
09/966,727 ✓

Filing Date  
9/28/2001 ✓

Examiner

Group Art Unit  
1745

Title: **REGENERATIVE ELECTROCHEMICAL CELL SYSTEM AND METHOD FOR USE THEREOF**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
PES-0033

In Re Application: Moulthrop et al.

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09/966,727

Filing Date  
9/28/2001

Examiner

Group Art Unit  
1745

**REGENERATIVE ELECTROCHEMICAL CELL SYSTEM AND METHOD FOR USE THEREOF**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-111111 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: March 17, 2003

*Pamela J. Curbelo*  
Signature

Pamela J. Curbelo

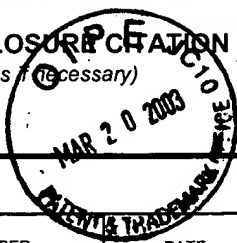
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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. <b>PES-0033</b>		SERIAL NO. <b>09/966,727</b>	
				<b>Moulthrop et al.</b>			
				FILING <b>9/28/2001</b>		GROUP <b>1745</b>	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,312,699	5/17/1994	Yanagi et al.			
		3,484,617	12/16/1969	A. Winsel			
		3,754,147	8/21/1973	Hancock et al.			
		4,184,084	1/15/1980	Crehore			
		4,335,093	6/15/1982	Salomon			
		5,512,787	4/30/1996	Dederick			
		5,592,028	1/7/1997	Pritchard			

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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		DE 197 31 250 A1	29.01.1998	Germany				✓
		EP 0 755 088 A2	22.01.1997	European				✓
		EP 0 878 859 A1	18.11.1998	European				✓
		EP 1 006 601 A2	01.12.1999	European				✓
		WO 99/44254	02.09.99	PCT				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
			Patent Abstract for DE19731250
			Patent Abstract for JP10055816

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

